

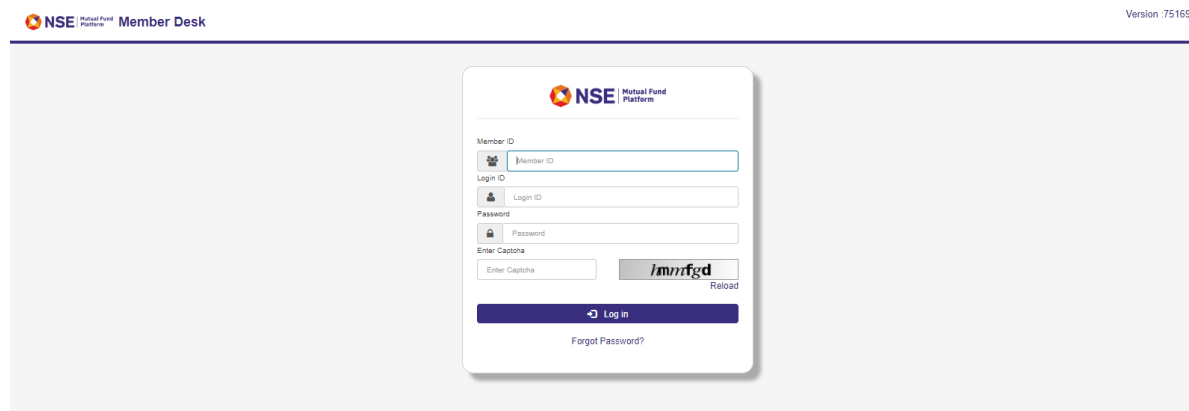
NSE MF INVEST Process Note

Getting Started:

UAT URL - <https://nseinvestuat.nseindia.com/>

Prod URL - <https://www.nseinvest.com/>

Members registered with NSE can login using the Member Id, Login Id, Password and Captcha. In the event of Forgot Password, Members can utilise Forgot Password feature on Login screen.



How to create Client/Client Master:

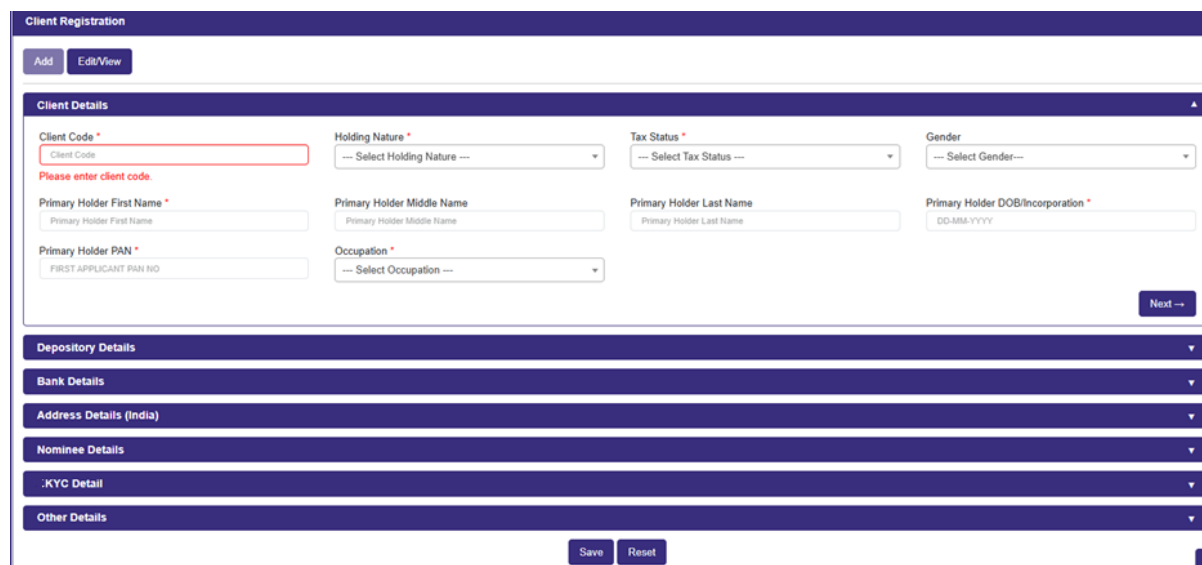
It is recommended that Members should validate the KYC of the client before creating the UCC.

Members can create client manually from the below path: -

Path: Menu> Masters> Client Registration.

Member to give a Unique Client Code (UCC) to the clients with maximum 10 alphanumeric characters

Members can create the clients manually by clicking on “Add” and then by filling in all the required fields in the UI.

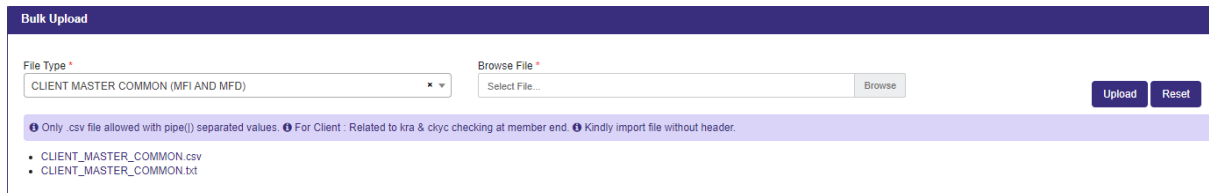


Alternatively, Members can create UCCs from the below path using Bulk Upload.

Path: Utilities > Bulk Upload

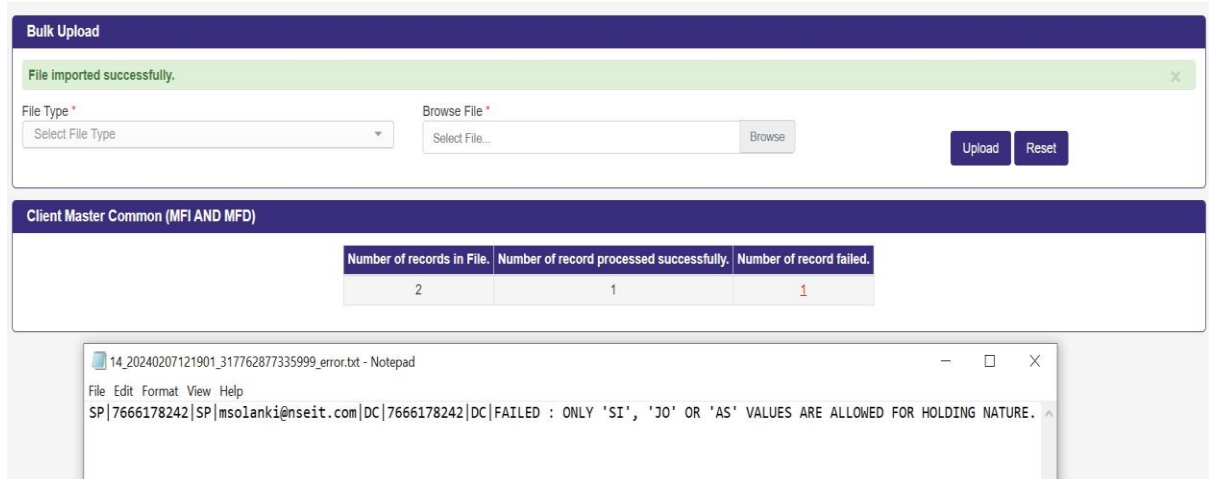
File Type: Client Master Common (MFI AND MFD) New

Members can refer this screen to create a client or Client Master in the system. Both .csv and .txt file allowed with pipe (|) separated values. Member can refer sample files provided on screen for their reference.



The screenshot shows the 'Bulk Upload' interface. At the top, there's a dark blue header with the text 'Bulk Upload'. Below it, there's a form with two main sections. The first section has a 'File Type' dropdown menu currently set to 'CLIENT MASTER COMMON (MFI AND MFD)'. To its right is a 'Browse File' section with a 'Select File...' button and a 'Browse' button. Further right are 'Upload' and 'Reset' buttons. Below the form, there's a light purple informational banner with three icons and text: 'Only .csv file allowed with pipe(|) separated values.', 'For Client : Related to kra & ckyc checking at member end.', and 'Kindly import file without header.'. At the bottom of this banner, there's a list of sample files: 'CLIENT_MASTER_COMMON.csv' and 'CLIENT_MASTER_COMMON.txt'.

After uploading file, Member can see how many records processed successfully and how many are failed. Member can also download the file pertaining to the failed records.



The screenshot shows the 'Bulk Upload' interface after a successful upload. A green banner at the top says 'File imported successfully.' with a close button. Below it, the form is similar to the previous one, but the 'File Type' dropdown is now set to 'Select File Type'. Below the form, there's a dark blue header with the text 'Client Master Common (MFI AND MFD)'. Underneath is a table with three columns: 'Number of records in File.', 'Number of record processed successfully.', and 'Number of record failed.'. The table has one row of data with values 2, 1, and 1 respectively. Below the table, there's a Notepad window showing an error message: '14_20240207121901_317762877335999_error.txt - Notepad', 'File Edit Format View Help', and 'SP|7666178242|SP|mso1anki@nseit.com|DC|7666178242|DC|FAILED : ONLY 'SI', 'JO' OR 'AS' VALUES ARE ALLOWED FOR HOLDING NATURE.'.

Client registration

Menu: Masters > Client Registration

Member can use this menu to Add / Edit /View the list of clients registered on the platform by them.

Client Registration

Add

Edit/View

Client Details

Client Code *

Client Code

Holding Nature *

--- Select Holding Nature ---

Tax Status *

--- Select Tax Status ---

Gender

--- Select Gender---

Primary Holder First Name *

Primary Holder First Name

Primary Holder Middle Name

Primary Holder Middle Name

Primary Holder Last Name

Primary Holder Last Name

Primary Holder DOB/Incorporation *

DD-MM-YYYY

Primary Holder PAN *

FIRST APPLICANT PAN NO

Occupation *

--- Select Occupation ---

Next →

Depository Details

Bank Details

Address Details (India)

Nominee Details

CKYC Detail

Other Details

Save

Reset

<https://nseinvestuat.nseindia.com/nsemfdesk/clientmaster.htm#>

Key details in the UCC are verified by the Exchange i.e. KYC status, Demat Account and Bank Account. In case there is a failure in bank validation, then the member needs to upload the Bank proof of the client and if in case there is a failure in Demat validation, the CML copy is required.

Settlement of Orders will be affected in case of failure in all the above cases. Members are advised to provide the email id and mobile no registered with the RTAs while creating the UCC.

FATCA Registration:

Members can update clients FATCA data manually from the below path.

Path: Menu> Masters> FATCA Registration

FATCA Registration

Client Code/Name

Please enter value for search.

Holder/ PAN

select Holder/Pan

Primary FATCA Details

PAN *

PAN

Individual / Non-Individual *

Select Individual / Non-Individual

Tax Status *

Select Tax Status

Name *

Name

Date of Birth / Incorporation

DD-MM-YYYY

Father Name

Father Name

Spouse Name

Spouse Name

Data Source *

Select Data Source

Address Type *

Select Address Type

Place of Birth *

Place of Birth

Country of Birth *

Select Country of Birth

Log Name *

Log Name

TIN Details

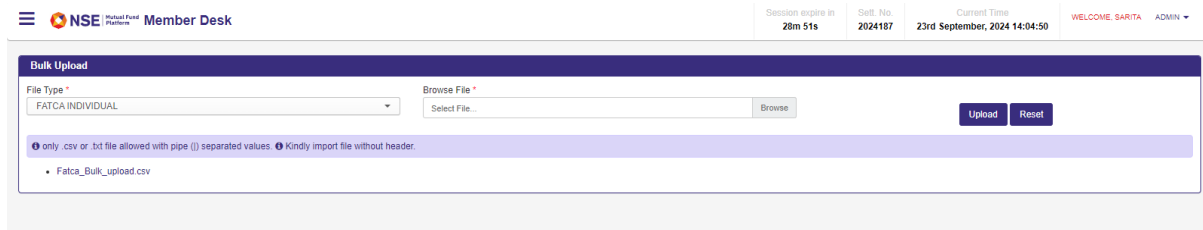
Sr No	Residence Country	Tax Payer Identification Number / Other Equivalent Number	Identification Document Type
1 *	Select Residence Country	Tax Payer Identification Number / Other Equivalent Number	Select Identification Document Type
2	Select Residence Country	Tax Payer Identification Number / Other Equivalent Number	Select Identification Document Type
3	Select Residence Country	Tax Payer Identification Number / Other Equivalent Number	Select Identification Document Type
4	Select Residence Country	Tax Payer Identification Number / Other Equivalent Number	Select Identification Document Type

Additional Details

Members can share the FATCA & UBO data with the exchange through UI by filling in all the required details.

Additionally, Member can share the FATCA & UBO data to the exchange through bulk upload.

Path: Utilities>> Bulk Upload>>FATCA Individual/ FATCA COMMON



Bulk Upload

File Type *
FATCA INDIVIDUAL

Browse File *
Select File... Browse

Upload Reset

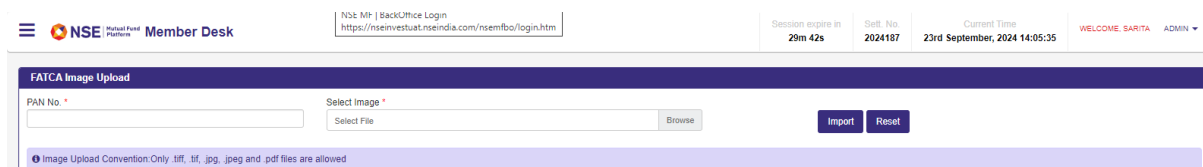
only .csv or .txt file allowed with pipe (|) separated values. Kindly import file without header.

- Fatca_Bulk_upload.csv

For non-individual clients, along with FATCA & UBO data shared through UI or bulk upload, the upload of FATCA Image – UBO/NPO as applicable is mandatory.

Member can upload the FATCA/UBO Declaration image in their Login

Path: Utilities>> FATCA Image Upload



FATCA Image Upload

PAN No. *

Select Image *
Select File... Browse

Import Reset

Image Upload Convention-Only .tiff, .tif, .jpg, .jpeg and .pdf files are allowed

eLog/AOF Process:

Both **Digital** and **Physical** onboarding of the client is available on the NSE MF Invest platform. **Digital** onboarding is kept default.

Once the Non-demat Client is created by the Member and FATCA details are entered, Email/SMS shall be triggered to the registered Email/Mobile no. in Client Master for authentication to all the holders in the UCC.

Note: The UCC authentication link is valid for 5 days.

In **digital** onboarding, the client will have to authenticate the UCC with an OTP which he/she receives on the registered email and mobile no.

In **physical** onboarding, the Member must take the clients signature on the Account Opening Form (**AOF**) and upload the same on the NSE MF Invest platform.

For non-individual clients, upload of **AOF** is mandatory along with the list of documents required by the RTAs.

UCC Activation on NSE Mutual Fund Platform

 noreply@nmf2.nse.co.in
To

Non-Confidential

Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

 Reply  Reply All  Forward  ...
Wed 08-01-2025 09:31

UCC Activation on NSE Mutual Fund Platform

Dear NON DEMAT32,

Greetings from NSE.

Welcome to NSE Invest.

The details of your registration on NSE Invest platform are given below:

Unique Client Code. (UCC) : NDI32

Trading Member Name :

Member SEBI RegNo : N/A

Authorisation Link : <https://nseinvestuat.nseindia.com/mf/a71552e0e5>

Please click the above link to view your complete details. Looking forward to your active participation on NSE Invest platform.

Disclaimer for Minor UCC:

As per the SEBI circular on June 15, 2023, investments for minor clients are allowed through the parent/guardian's bank account too, but redemption payout to be processed in minor bank account only. Thus, the minor UCCs will be activated even with the bank account of the parent/guardian and the subscriptions will be reported based on the selection of existing bank accounts in the UCC records. However, the UCC holder will be responsible to update the minor bank account details in the existing folios in the RTA records, before initiating any redemption requests on the platform.

Thanks and Regards,
National Stock Exchange of India Limited.

Client will have to open the link and verify the details mentioned in the UCC and authenticate the same by One Time Password (OTP) triggered to the registered Email/Mobile No. in the UCC. Once the client completes authentication, eLog of authentication shall be captured.

The UCC authentication mail/SMS (eLog) is sent to all the holders in the UCC. It is mandatory for all the holders in the UCC to authenticate.

This eLog generated will supersede AOF document and Member will not be required to upload the AOF document for the Client Master. Once the eLog authentication process is completed by all the holders in Client Master, Member will be allowed to place order in non-Demat mode provided all other validations are fulfilled by the Client. For Demat mode customers, uploading AOF and FATCA registration will be required only if they wish to transact in non-demat mode. Also, UCC authentication email will not be sent in such cases.

In case Client has not received the eLog mail, an option has been provided in member login to resend the authentication link to the client for authentication.

Path: Reports >> Client Authorization Report>> Resend

Note: UCC authentication email will trigger only after the successful upload of FATCA File.

Account Opening Form (AOF) Download:

Member needs to upload the AOF of the clients who do not have access to their mail and mobile no to authenticate the client master data through eLog. Members can download the prefilled AOF and take the signature of the client on the same and upload it on NSE MF Invest Platform.

Path: Utilities>> AOF Download

Non-Confidential

AOF Download

Client Code/Name *

Please enter value for search.

Download

Reset

AOF Image Upload:

Path: Utilities>> AOF Image Upload.

Image Upload Convention: Only .tiff, .tif, .jpeg and .pdf files are allowed with a size limit of 3MB.

In case of individuals investors intending for Physical on-boarding and non-individuals investors, AOF to be uploaded with the documents required for that category as a single file.

AOF Image Upload

Client Code/Name *

Please enter value for search.

Select Image *

Select File

Browse

Import

Reset

Image Upload Convention: Only .tiff, .tif, .jpeg and .pdf files are allowed

Client Authorization Report

Member can view the authorization mode and authorization status, KYC verification status, Demat account status, Bank account status of the client in this report. Member can resend the Client authorization link if the client hasn't received the same.

Path: Reports>> Client Authorization report.

Non Demat Client Authorization Report

Member Code

Search By

NONE

OR

From Date *

01-01-2025

To Date *

20-01-2025

Authorization Status

View

Export To Excel

Reset

Non Demat Client Authorization Report

Non demat client Authorization report – Upload between 23-01-2025 To 07-02-2025

UCC - NDI44 Authorization Detail

30

entries per page

Sr No.	Holder Name	Fatca Exists	AOF Exists	Elog Exists	Holder Type	Email Id	Mobile No	Auth Status	Auth Remarks	Auth Date	Auth IP
1	NON DEMAT44	Yes	No	Yes	F			SUCCESS		05/02/2025 10:15:26	121.241.30.250

Client KYC Status Report

Menu: Reports> Client KYC Status Report

Members can view the status of PAN verification as per KRA of their clients in this report.

Client Kyc Status Report

Client Code/Name

NDI1 - NON DEMAT1

OR

Date Type *

SELECT DATE TYPE

From Date *

23-01-2025

To Date *

23-01-2025

Pan No

Please Enter Pan No.

Pan No should be First 5 alphabetic + Next 4 numerical + Last alphabetic.

View

Export To Excel

Reset

Client Kyc Status Report Detail

50 entries per page

MEMBER_ID	CLIENT_CODE	CLIENT_PAN	HOLDING_TYPE	APP_STATUS	APP_NAME	APP_PAN_DOB	APP_KYC_MODE	APP_SPV_FLAG	APP_HOLD_DEACTIVE_RMKs	APP_UPDT_STATUS	APP_KHA_TYPE	ERROR_CODE	ERROR_MSG
89943	NDI1	AAAPN1234A	P	01 - Submitted	MONICA		0	IN-No			NSE		

Showing 1 to 1 of 1 entry

Close

Cancelled Cheque Upload

Menu: Utilities > Client Cancel Cheque Upload

If bank verification fails, member must upload cancelled cheque or any bank proof image in .png, .jpg, .jpeg with max. size of 2MB through their login. Once cancelled cheque copy is uploaded, the same will be validated by NSE Ops team and if appropriate, the respective bank account will be activated for transactions.

Client Cancel Cheque Upload

Upload

View

Client Code/Name

Please enter value for search.

OR

First Applicant PAN

First Applicant PAN

OR

Cheque Status *

All

Date Type *

UCC Created Date

From Date *

10-01-2025

To Date *

10-01-2025

View

Reset

Client Detail Report having UCC Created Date between 10-01-2025 To:10-01-2025

Uploaded File(s)	CLIENT CODE	FIRST APPLICANT NAME	FIRST APPLICANT PAN	Bank Sr. No	Bank Name	Bank Account No.	Account Type	IFSC Code	MICR	DEFAULT BANK FLAG	Branch Name	STATUS	STATUS SOURCE	REMARKS	CHEQUE STATUS	UCC CREATED AT	UCC MODIFIED AT	BANK CREATED BY	BANK CREATED AT	BANK MODIFIED BY	BANK MODIFIED AT
No record(s) found.																					

Cancel

Additional Bank Details Upload

Menu: Utilities > Bulk Upload

File Type: Client Bank Upload

Member can upload additional/delete bank details against a Client/UCC. Both .csv and .txt file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample file provided on screen for their reference.

Once uploaded, Member can view and export the details of the banks added and the status of the bank in the client registration report (Masters> Client registration).

Bulk Upload

File Type *

CLIENT BANK UPLOAD

Browse File *

Select File...

Browse

Upload

Reset

Only .csv or .txt file allowed with pipe(|) separated values. Kindly Import file without header.

- CLIENT_BANK_UPLOAD.csv
- CLIENT_BANK_UPLOAD.txt

Client Code (UCC)|Account Type|Account No|MICR No|IFSC Code|Action|Default Bank Flag

10031|Add|CB|ACCIN0000018|700002021|SBIN0005943|Y

10031|De1|CB|ACCIN0000018|SBIN0005943|

FATCA Report

Member can view and export the list of clients whose FATCA is uploaded and the RTA status of the same.

Path: Reports >> FATCA Report

FATCA Report

Search By

FIN NO / PORTIN NO

You can enter Comma (,) separated multiple values.

OR

From Date

01-01-2025

To Date

10-01-2025

View

Export To Excel

Reset

Fatca Report : From 01-01-2025 To 10-01-2025

50 entries per page

SrNo	PAN_NO	PERSON	INV_NAME	DOB	FIN_NAME	SP_NAME	TAX_STATUS	DATA_MSC	ADMIN_TYPE	PO_RIN_MSC	CO_RIN_MSC	TAX_RES1	TPRM1	EXT_TYPE	TAX_RES2	TPRM2	EXT_TYPE	TAX_RES3	TPRM3	EXT_TYPE	TAX_RES4	TPRM4	EXT_TYPE	BRNCE_WGALT	COMP_SERV1	INC_BLAG	NET_WORTH	INV_DATE	PEP_FLAG	OCCL_CODE	OCCL_TYPE	EXEMP_C
1	AARFP1234Y	NON					01 P		1	MUMBAI	IN	IN	AARFP1234Y	C										01		33	0	N		02 S		
2	AARFP1234Y	NON					01 P		1	MUMBAI	IN	IN	AARFP1234Y	C										01		33	0	N		02 S		
3	AARFP1234Y	NON					01 P		1	MUMBAI	IN	IN	AARFP1234Y	C										01		33	0	N		02 S		
4	AARFP1234Y	NON					01 P		1	MUMBAI	IN	IN	AARFP1234Y	C										01		33	0	N		02 S		
5	ALRPN1234Y	NON					01 P		1	MUMBAI	IN	IN	ALRPN1234Y	C										01		33	0	N		02 S		
6	ANRPN1234Y	NON					01 P		1	MUMBAI	IN	IN	ANRPN1234Y	C										01		33	0	N		02 S		

AOF Image Upload report

Members can check the status of the AOF uploaded in the report available.

Path: Reports>>AOF Image Upload report

AOF Image Upload

Client Code/Name *

Please enter value for search.

Select Image *

Select File

Browse

Import

Reset

Image Upload Convention: Only .tiff, .tif, .jpg, .jpeg and .pdf files are allowed

Member can start placing transactions post the client completes the onboarding process.

Please refer to the grid below for requirements of client onboarding.

	Client Type	UCC Creation	FATCA	AOF	Client Authorization
Individual	Demat	✓	⊗*	⊗*	⊗
	Non Demat	✓	✓	✓**	✓**
Non-Individual	Demat	✓	⊗*	⊗*	⊗
	Non Demat	✓	✓	✓	⊗***

* For Demat mode customers, uploading AOF and FATCA will be required only if they wish to transact in non-demat mode.

** Either AOF or Client Authorization (eLog) will be required

***Non-Individual clients do not receive the UCC Authorization links. Uploading of AOF with the documents applicable is mandatory for non-individuals.

Transactions

How to place Fresh/Additional Purchase transaction

Member can place fresh/additional transaction of their clients through UI from the Path below.

Path: Menu> Quick Order.

Member can manually place Fresh/additional Transaction by entering the client code and all the required details in the UI.

Quick Order

Member Code

Client Code/Name *

Please enter value for search.

Order Details

Order Type *

PURCHASE

Scheme code/Isin

Enter Scheme code/Isin

Transaction Mode *

Select Transaction Mode

AMC Name *

Select AMC Name

Settlement Type

Select Settlement Type

Scheme Type

Select Scheme Type

Scheme Option

Select Scheme Option

Scheme Name *

Select Scheme Name

Transaction Type

FRESH

Folio Number

Please Enter/Select Folio No.

Place Order

Reset

Additionally, Member can upload the Bulk File in the path below to place fresh/additional transactions.

Path: Utilities > Bulk Upload

File Type: Order Entry

Member can place Purchase orders in the system. Both .csv and .txt file allowed with pipe (|) separated values. Member can refer sample files provided on screen for their reference.

For Purchase:

Bulk Upload

File Type *

ORDER ENTRY

Browse File *

Select File...

Browse

Upload

Reset

only .csv, .txt and incremental(.001,.002,.003...) file allowed with pipe(|) separated values. Kindly import file without header.

- Order_Entry.csv
- Order_Entry.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

Bulk Upload

File imported successfully.

File Type *

Select File Type

Browse File *

Select File...

Browse

Upload

Reset

Order Entry Summary

Number of records in File.	Number of record processed successfully.	Number of record failed.
1	1	0

After entering data on UI/uploading order file successfully for transactions, investor will receive an Email on their registered mail ID and SMS on their registered mobile no for authorisation. The authorization mail/ SMS goes to all the holders in the UCC/Client Master.

Transaction Submission Notification

Dear NON DEMAT27,

Greetings from NSE Invest.

We are glad to inform you that your distributor/advisor has electronically submitted purchase application through NSE Invest on your behalf for the transactions.

Kindly click the below link to review the above transaction/s and complete the transaction processing. In case of any discrepancies, please contact your distributor/advisor.
[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text your browser
<https://nseinvestuat.nseindia.com/mf/a78339aaa9>

Kindly note that the transaction is valid till subject to realization of two- factor authentication if applicable and payment processing. NAV applicability will be subject to transfer of funds to respective AMC account. Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,
National Stock Exchange of India Limited.

Investor needs to click on the “View & Authorize link” and then select the orders he wants to authenticate. He then will have to select the mode of authorization.

Purchase Order Authorization / Payment for NON DEMAT35 (NDI35)

Distributor Name

Distributor Contact No

Distributor Email

Pending to Authorize with OTP

Order Request Date

20/01/2025 12:14:49

Order No.

4502000000067

Scheme Name

KOTAK LIQUID FUND - REGULAR PLAN - GROWTH

Amount

15,000.00

Folio No.

Active Till

24/01/2025 23:59:59

1st Holder 2FA Status

PENDING

2nd Holder 2FA Status

3rd Holder 2FA Status

Order Request Date

20/01/2025 11:45:43

Order No.

4502000000066

Scheme Name

KOTAK LIQUID FUND - REGULAR PLAN - GROWTH

Amount

16,000.00

Folio No.

Active Till

24/01/2025 23:59:59

1st Holder 2FA Status

PENDING

2nd Holder 2FA Status

3rd Holder 2FA Status

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

☒ I Agree Terms & Condition

Authorization Mode *

Select Authorization Mode

Select Authorization Mode

Both

Email

Mobile

Reset

Payment Pending Order Summary

ord(s) found.

Investor needs to select the authorization mode and generate the OTP for authorization. Investor can use resend OTP option if the OTP was not received earlier.

In case of joint holding, all the holders to authenticate the order whereas in case of anyone or survivor holding in the UCC, the first holder can authorize or just make the payment for the purchase order if authentication is completed by any holder/holders as per the UCC.

First holder needs to select the orders for which he wants to make the payment and select the payment mode.

Purchase Order Authorization / Payment for NON DEMAT35 (NDI35)

Distributor Name

Distributor Contact No

Distributor Email

Pending to Authorize with OTP

No record(s) found.

Payment Pending Order Summary

Order Request Date
20/01/2025 12:14:49

Order No.
4502000000067

Order Request Date
20/01/2025 11:45:43

Order No.
4502000000066

Select Payment Mode

MANDATE
UPI
NEFT/RTGS
NET BANKING
CHEQUE
Select Payment Mode

Folio No.

Sip Reg No

Active Till
24/01/2025 23:59:59

Folio No.

Sip Reg No

Active Till
24/01/2025 23:59:59

Net Payable Amount : 31,000.00

Note:

All the transactions will be processed only after receipt of fund confirmation from the respective bank.
Please do not process payment from multiple tab.

ProceedReset

Post successful payment, purchase order confirmation page comes on screen.

Purchase Order Authorization / Payment for NON DEMAT35 (NDI35)

Distributor Name

Distributor Contact No

Distributor Email

Purchase Order Confirmation

Request Date	Order No.	Scheme Name	Transaction Mode	Folio No.	Order Remark	Amount	SIP Reg. No
20/01/2025 12:14:49	4502000000067	KOTAK LIQUID FUND - REGULAR PLAN - GROWTH	Non-Demat		PAYMENT CONFIRMATION PENDING	15,000.00	
20/01/2025 11:45:43	4502000000066	KOTAK LIQUID FUND - REGULAR PLAN - GROWTH	Non-Demat		PAYMENT CONFIRMATION PENDING	16,000.00	
Total Collection Amount						31,000.00	
Payment Mode						NEFT/RTGS	

✔ Your payment request is accepted successfully as per above details.

Post successful payment by the client, he gets a confirmation mail and SMS for the same.

Transaction Authorization Confirmation

N

noreply@nmf2.nse.co.in

To

Sarita Nair (MFR)

Non-Confidential

Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

☺

↩ Reply

↩ Reply All

➡ Forward

Mon 20-01-2025 14:01

Transaction Authorization Confirmation

Dear NON DEMAT35,

Greetings from NSE Invest.

Order no 4502000000068 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Thank you very much for choosing to transact on NSE INVEST.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.

In case you need any clarification, please contact your Distributor 99943 - SARITA or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,
National Stock Exchange of India Limited.

Non-Confidential

Please note: Transaction will not get processed if the payment is done by the Investor from an unverified/invalid bank account.

Demat orders will not be accepted if the demat account is not active.

Client Cheque Deposit Slip Download

Any member whose clients wants to make the payment through cheque for the transaction, then the client needs to select the payment mode as “Cheque” in the payment mail he receives post order authentication. Member can download the prefilled Cheque deposit slip from his login.

Path: Menu> Utilities> Client Cheque Deposit Slip Download

Client Cheque Deposit Slip Download

Client Code/Name
Please enter value for search.

From Date*
07-02-2025

To Date*
07-02-2025

OR

Order No.

Cheque No.

View

Reset

Cheque Deposit Slip Report – Cheque Date between 07-02-2025 To: 07-02-2025

Download Slip	Cheque Date	Client Code	Cheque No.	Amount	Status	Bank Name	A/c No.	IF SC
☐ Download	07/02/2025	NDH	123456	15000	PENDING	HDFC BANK	0879456321	HDFC00000000

Download

Reset

Cancel

The cheque can be deposited at nearest HDFC Bank.

How to enter Redemption transaction

Member can place redemption transaction of their clients through UI from the Path below.

Path: Menu> Quick Order.

Member can manually place redemption transaction by entering the client code and all the required details in the UI.

Quick Order

Member Code

Client Code/Name *
NDH1 - NON DEMAT1

Order Details

Order Type *
REDEEM

Settlement Type
Select Settlement Type

Folio Number *
Please Enter/Select Folio No.

Scheme code/Isin
Enter Scheme code/Isin

Scheme Type
Select Scheme Type

Transaction Mode *
NON DEMAT

Scheme Option
Select Scheme Option

AMC Name *
Select AMC Name

Scheme Name *
Select Scheme Name

Place Order

Reset

Additionally, Member can upload the Bulk File in the path below to place redemption transactions.

Path: Utilities > Bulk Upload

File Type: Order Entry

. Both .csv and .txt file allowed with pipe (|) separated values. Member can refer sample files provided on screen for their reference. The folio no in which the redemption needs to be mentioned mandatorily.

For Redemption

Bulk Upload

File Type *

ORDER ENTRY

Browse File *

Select File...

Browse

Upload

Reset

only .csv, .txt and incremental(.001,.002,.003...) file allowed with pipe(|) separated values. Kindly import file without header.

Order_Entry.csv

Order_Entry.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

Bulk Upload

File imported successfully.

File Type *

Select File Type

Browse File *

Select File...

Browse

Upload

Reset

Order Entry Summary

Number of records in File.	Number of record processed successfully.	Number of record failed.
1	1	0

For orders placed manually or through file upload, the bank account in which redemption payout is expected needs to be mentioned during order entry. For Demat redemption orders, the bank account specified in the order should be in verified state. For Non-demat redemption orders, the RTA / AMC will make the redemption payout in the bank account linked to the folio as per RTA records. After placing the redemption order manually or by uploading order file successfully for redemption transaction, investor (First Holder in UCC) will receive an Email on his registered mail ID and SMS on his registered mobile no for authorization. Investor needs to select the orders he wants to authorize and select the mode of authorization.

Redemption Order Authorization for NON DEMAT1 (ND1)

Distributor Name

Distributor Contact No.

Distributor Email

Pending to Authorize with OTP

Request Date

05/12/2024

09:55:08

Order No.

443400000247

Scheme Name

KOTAK LIQUID FUND - REGULAR PLAN - GROWTH

Folio No.

1215487

Amount

100

Qty

0

All Units

N

Active Till

09/12/2024

23:59:59

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

☒ I Agree Terms & Condition

Authorization Mode *

Email

Send OTP

Email

S*****@N*****.IN

Enter Email OTP

Reset

Investor needs to generate the OTP and authorize the redemption. On successful authorization, the investor gets the confirmation of the order on screen.

NSE National Stock Exchange of India **Client Desk**

Redemption Order Authorization for NON DEMAT1 (NDI1)

Distributor Name	Distributor Contact No.	Distributor Email
------------------	-------------------------	-------------------

Redemption Order Confirmation for NON DEMAT1 (NDI1)

Request Date 05/12/2024 09:55:08	Order Date 05/12/2024 10:59:25	Order No. 443400000247	Scheme Name KOTAK LIQUID FUND - REGULAR PLAN - GROWTH	Transaction Mode Non-Demat	Folio No. 1215487	Amount 100	Qty 0	All Units N
--	--------------------------------------	---------------------------	---	-------------------------------	----------------------	---------------	----------	----------------

Thank you for completing authorization. Transaction will be processed as per above mention Order Date.

The investor also gets the confirmation of the order on his mail and SMS.

Transaction Authorization Confirmation

Dear NON DEMAT1,

Greetings from NSE Invest.

Order no 45021000093 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Thank you very much for choosing to transact on NSE INVEST.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.

In case you need any clarification, please contact your Distributor or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,
National Stock Exchange of India Limited.

How to enter Switch transaction

Member can place Switch Order from the below path.

Path: Menu> Switch Order.

Member needs to enter all the details required in the UI to place a switch transaction.

Switch Order

Client Code/Name * Please enter value for search.		Client Tax Status	
Transaction Mode * Select Transaction Mode	Transaction Type FRESH	AMC Name * Select AMC Name	
From Scheme Type Select From Scheme Type	From Scheme Option Select From Scheme Option	From Scheme Name * Select From Scheme Name	
To Scheme Type Select To Scheme Type	To Scheme Option Select To Scheme Option	To Scheme Name * Select To Scheme Name	

Additionally, the member can upload the Bulk file to place switch transactions from the below path.

Path: Utilities > Bulk Upload

File Type: Switch Order Entry

Member can place Switch orders in the system. Both .csv and .txt file allowed with pipe (|) separated values. Member can refer sample files provided on screen for their reference. The folio no in which the switch needs to be mentioned mandatorily.

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

After placing the Switch order through UI or by uploading switch order file successfully, investor (Primary Holder in UCC) will receive an Email on his registered mail ID and SMS on his registered mobile no for authorization.

Investor needs to click on the “View & Authorize link” and then select the orders he wants to authenticate. He then will have to select the mode of authorization.

All Units	Request Date	Request Date	Scheme Name(SO)	Scheme Name(SI)
N	10/12/2024 23:59:59	06/12/2024 13:52:06	360 ONE DYNAMIC BOND FUND	360 ONE DYNAMIC BOND FUND
Switch Trxn No. 24341000005 Order No.(SO) 443410000236 All Units N	Request Date 06/12/2024 13:52:06 Order No.(SI) 443410000235 Active Till 10/12/2024 23:59:59	Request Date 06/12/2024 13:52:06 Order No.(SI) 443410000235 Active Till 10/12/2024 23:59:59	Folio No. F1235467 Transaction Mode Non-Demat	Amount(SO) Qty(SO) 0 110
Switch Trxn No. 24341000004 Order No.(SO) 443410000234 All Units N	Request Date 06/12/2024 13:52:06 Order No.(SI) 443410000233 Active Till 10/12/2024 23:59:59	Request Date 06/12/2024 13:52:06 Order No.(SI) 443410000233 Active Till 10/12/2024 23:59:59	Folio No. F1235467 Transaction Mode Non-Demat	Amount(SO) Qty(SO) 0 100

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

☒ I Agree Terms & Condition

Authorization Mode *

Email N*****@V*****.IN

You can resend OTP after 77 seconds.

The OTP will go to the registered mail id/mobile no or both as per the mode selected by him.

Post authentication, investor will get an order confirmation mail/SMS too.

Transaction Authorization Confirmation

 noreply@nmf2.nse.co.in
To

 Non-Confidential

 Follow up. Start by 06 December 2024. Due by 06 December 2024.
Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

 Reply  Reply All  Forward  

Fri 06-12-2024 14:0

Transaction Authorization Confirmation

Dear TEJAS NONDEMATT,

Greetings from NSE Invest.

Order no 443410000238 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Thank you very much for choosing to transact on NSE INVEST.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.

In case you need any clarification, please contact your Distributor MEMBER or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,
National Stock Exchange of India Limited.

Switch Order Report

Path: Member Desk >>Menu>>Reports>>Switch Order Report

Member can view all switch orders present in system till date from this Menu. Member can filter switch order from this screen as per Client Code/Name, AMC Name, From Scheme Name To

Non-Confidential

Scheme Name, From Date, To Date. Also, Member can Export this report in TXT or Excel format for reference.

Switch Order Report

Client Code/Name

Please enter value for search.

OR

AMC Name

From Scheme Name

To Scheme Name

From Date *

To Date *

Select Amc Name

Select From Scheme Name

Select To Scheme Name

01-08-2023

30-08-2023

View

Export To Excel

Export To Text

Reset

Switch Orders : From 01-08-2023 To 30-08-2023

Show 50 entries

Transaction Date	Order No	Member Code	Client Code	From AMC	To AMC	Transaction Type	Buy/Sell Type	Switch Out Scheme
21/08/2023	432330000011	99917	UC99007	ADITYA BIRLA SUNLIFE MUTUAL FUND	ADITYA BIRLA SUNLIFE MUTUAL FUND	DEMAT	ADDITIONAL	ADITYA BIRLA SUN LIFE TAX RELIEF 96 FUND (ELSS U/S 80C OF IT ACT) - REGUL
21/08/2023	432330000009	99917	UC99007	ADITYA BIRLA SUNLIFE MUTUAL FUND	ADITYA BIRLA SUNLIFE MUTUAL FUND	DEMAT	FRESH	ADITYA BIRLA SUN LIFE TAX RELIEF 96 FUND (ELSS U/S 80C OF IT ACT) - REGUL
09/08/2023	432210000007	99917	UC99007	ADITYA BIRLA SUNLIFE MUTUAL FUND	ADITYA BIRLA SUNLIFE MUTUAL FUND	DEMAT	ADDITIONAL	ADITYA BIRLA SUN LIFE TAX RELIEF 96 FUND (ELSS U/S 80C OF IT ACT) - REGUL
09/08/2023	432210000005	99917	UC99007	ADITYA BIRLA SUNLIFE MUTUAL FUND	ADITYA BIRLA SUNLIFE MUTUAL FUND	DEMAT	FRESH	ADITYA BIRLA SUN LIFE TAX RELIEF 96 FUND (ELSS U/S 80C OF IT ACT) - REGUL

View Orders

Member Desk> Menu> View Orders

Member can view all orders present in system from this Menu. Also, Member can cancel orders from this menu. Orders can be any order like Purchase, Redemption, Switch, SIP, XSIP, SWP, STP.

Also, Member can filter order from this screen as per AMC, Scheme Name, Scheme Code, Client Code, Order IDs.

Member also has an option to resend the email to investor in case the investor has not received it.

View Order

AMC Name

Scheme Name

Select AMC Name

Select Scheme Name

Scheme Code

Client Code

Scheme Code

Client Code

OR

Order Ids

Order Ids

Maximum 50 Order No. are allowed with comma(,) separated.

View

Reset

View Orders as on 10-01-2025

Show 50 entries per page

Sl No.	Cancel	Activity	Request Code	Order No	Scheme Code	ISIN	Scheme Name	Client	Client Name	Member	PNR	All Units	Amount	Qty	Trans Type	DPY/Units No.	Settlement Mode	Resend
1		NEW	100102028 11:31:36	430100000000	BIL100ANUG	INF100W1PUB	ADITYA BIRLA SUN LIFE LIQUID FUND - DIRECT PLAN	NDH1	NON DEMAT1	99943	P	N	800	0	FRESH		NON DEMAT	Resend
2		NEW	100102028 11:05:09	430100000009	HDFCDFD OF L1	INF179W21VY8	HDFC BALANCED ADVANTAGE FUND - DIRECT PLAN - IDCW PAYOUT	NDH1	NON DEMAT1	99943	P	N	200000	0	FRESH		NON DEMAT	

Mandate Registration

Member can register mandate of his clients through UI from the Path below.

Path: Menu>XSIP>Mandate> Mandate Registration

Member needs to enter all the required details including the Mandate type (NACH MANDATE or eNACH MANDATE) and save the data entered.

Mandate Registration

Client Code/Name *

Please enter value for search.

Bank Name - Account No *

Bank Name - Account No

IFSC *

-

MICR No *

-

Account Type *

Mandate Type *

Mandate Type

Amount *

Amount

Start Date *

06-02-2025

End Date *

06-02-2065

Member Mandate Id

Member Mandate Id

Registration Date

06-02-2025

Save

Reset

Additionally, Member can upload the Bulk File in the path below to register Mandates.

Menu: XSIP > Mandate > Mandate Bulk Upload

Note: In Nach Mandate, the limit is up to 1 Cr. Per transaction and for Emandate limit is Min 10 lakh to Max. 1 Cr (differ as per Bank, or as prescribed by NPCI from time to time)

Mandate Registration (ACH Mandate)

Enter **Mandate type= X For** ACH mandate

Member can place Mandate Registration request in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

Mandate Bulk Upload

Browse File *

Select File

Browse

Import

Reset

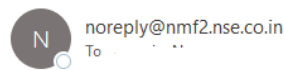
📎 only .csv, .txt file allowed with pipe(|) separated values. 📎 Kindly import file without header.

- Mandate_bulk_Upload_Sample.csv
- Mandate_bulk_Upload_Sample.txt

After registering NACH MANDATE / NACH MANDATE, Investor will receive email communication where all details about registered Mandate will be mentioned.

The Investor will get the prefilled ACH Mandate form in the mail that he receives.

ACH Mandate Registration



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Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.



Dear Investor,

Greetings from NSE Invest.

We request you to please sign attached pre-printed ACH form and send the same to to enable us to take it forward for registration.

Client code	: NDI1
Investor Name	: NON DEMAT1
Bank Name	: STATE BANK OF INDIA
Account Number	: 0987654321
Account Type	: SB
IFSC Code	: SBIN0000018
MICR Code	:
Branch Name	: BALLYGUNGE
ACH From Date	: 10-Jan-2025
ACH To Date	: 31-Dec-2040
Until Cancelled	: N
ACH Amount	: 100000.00
Debit Amount Type	: Maximum Amount

Looking forward to your support and active participation on NSE Invest.

In case you need any clarification, please contact : on their registered email or phone number.

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NACH pre- filled Mandate Download

Member desk>Menu>XSIP>Mandate>NACH PRE-Filled ACH Download

After registering Mandate Member can download the prefilled Mandate form of the registered mandate. He can then ask the customer to check the data on the mandate form and sign the same. Member can upload that form in Member desk.

Note: The signature of the client should be as per Bank Records and in case of Joint holding it should be in the same pattern as per Bank records.

NACH Pre-filled Mandate Download

Client Code/Name
Please enter value for search.

Mandate Registration Date (From – To)
10-01-2025 10-01-2025

OR
Mandate Id
Member Mandate id

View Reset

[Download Blank Mandate](#)

NACH Mandate Report From : 10-01-2025 To : 10-01-2025

50 entries per page

Select All	Download PDF	Mandate ID	Mandate Registration Date	Client Code	Holder Name	Bank Name	Acc No.	MICR	IFSC	Amount	Start Date	End Date	Status
☐	Download	2025011010001	10/01/2025 11:49:51	ND1	NON DEMAT1	STATE BANK OF INDIA	0987854321	SBIN0000018	100000	10/01/2025	31/12/2040	SCAN IMAGE NOT UPLOADED	

Upload Scan Mandate –

Member desk>Menu>XSIP>Mandate> Upload Scan mandate

After downloading ACH Mandate form, Member needs to upload that form to Scan Mandate. In this menu, Member can upload file as well as can check uploaded mandate files. Member can refer the below screen to upload the mandate form files, after uploading that entry will get removed from the record.

Upload Scan Mandate

Upload View

Client Code/Name
Please enter value for search.

Mandate Registration From Date
10-01-2025

Mandate Registration To Date
10-01-2025

OR
Mandate ID
Mandate ID

Maximum 10 Mandate ID are allowed with comma(,) separated.

View Reset

Mandate Detail Report - Registration Date between 10-01-2025 To:10-01-2025

Upload Scan PDF	Mandate ID	Mandate Registration Date	Mandate Status	Status Remarks	Client Code	Holder Name	Bank Name	Acc No.	MICR	IFSC	Amount	Start Date	End Date	UPLOAD HISTORY
Choose File No file chosen	2025011010001	10/01/2025 11:49:51	SCAN IMAGE NOT UPLOADED		ND1	NON DEMAT1	STATE BANK OF INDIA	0987854321	SBIN0000018	100000.00	10/01/2025	31/12/2040		

Upload Reset Cancel

Post upload of signed mandate form, details are sent to the Service Provider. Service Provider will check all details and provide unique UMRN no against the registered mandate. Post which Member is eligible for registering XSIP for that client.

Mandate Registration (Emandate)

Menu: XSIP > Mandate > Mandate Bulk Upload

Member can place Mandate Registration request in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

Enter **Mandate type= E For Emandate**

Mandate Bulk Upload

Browse File *

Select File

Browse

Import

Reset

only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.

Mandate_bulk_Upload_Sample.csv

Mandate_bulk_Upload_Sample.txt

After registering E Mandate by uploading file successfully, investor will receive email communication where investor must confirm and authorise E-mandate by using Options like Aadhar card, Net Banking, Debit Card.

Bank Name

HDFC BANK

Account No

50200003144866

Account Type

SAVINGS

Bank Branch

MULUND - SAGAR GARDEN

IFSC

HDFC0003354

ACH Mandate Detail

Start Date

06/05/2024

End Date

31/12/2045

Debit Frequency

AS AND WHEN PRESENTED

Amount

₹ 430200

NSE Mandate ID

2024050610003

Authorization Mode

Aadhaar Card

Select Authorization Mode

Net Banking

Debit Card

Aadhaar Card

Confirm

NACH
NATIONAL AUTOMATED CLEARING HOUSE

E-mandate Powered By

NPCI
नार्वेले एमले गुरारे डेले
NATIONAL PAYMENTS CORPORATION OF INDIA

TOP

Mandate Reports

Menu: XSIP> Mandate> Mandate Detailed Report

This report displays the current mandate status.

Mandate Detail Report

Mandate Id

Search by Mandate Id

Mandate Type *

NACH MANDATE

Mandate Status

Select Mandate Status.

OR

Date Type

Registration Date

Start Date *

10-01-2025

End Date *

10-01-2025

View/Download Format *

Current Format

New Format

Client Code/Name

Please enter value for search.

View

Export To Excel

Export To Text

Reset

Mandate Detail Report

50

entries per page

Sr No	MANDATE ID	CLIENT CODE	CLIENT NAME	MEMBER CODE	BANK NAME	BANK BRANCH	AMOUNT	REGISTRATION DATE	STATUS	ENACH STATUS	REJECTION REASON	UMRN NO	REMARKS	APPROVED DATE	BANK ACCOUNT NUMBER	MANDATE COLLECTION
1	2025011010001	IND1	NON DEMAT1	99943	STATE BANK OF INDIA	BALLYGUNGE	100000	10/01/2025	SCAN IMAGE NOT UPLOADED						0987854321	SCAN

Showing 1 to 1 of 1 entry

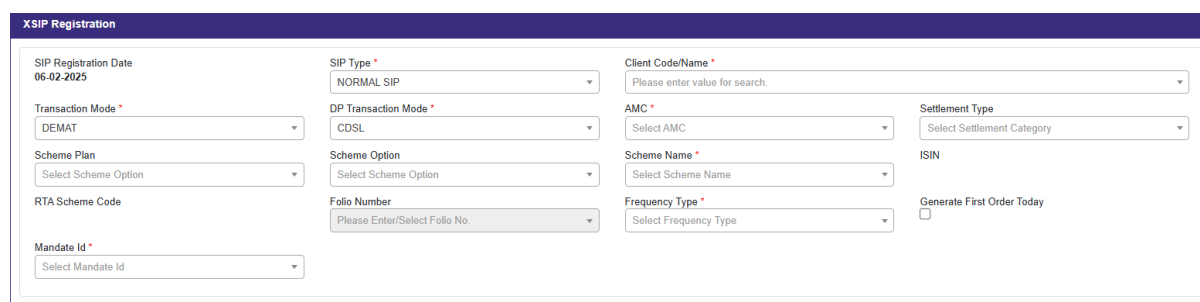
1

How to register SIP/ XSIP transaction

Member can register SIP/XSIP manually of his clients through UI from the Path below.

XSIPs are where the collection is done through mandates and SIPs are where the collection is not done through Mandates.

Path: Menu>XSIP>Mandate>Mandate Registration

The image shows a web form titled "XSIP Registration". It contains several input fields and dropdown menus. On the left, there is a "SIP Registration Date" field with the value "06-02-2025". Below it are "Transaction Mode" (set to "DEMAT"), "Scheme Plan" (set to "Select Scheme Option"), "RTA Scheme Code", and "Mandate Id" (set to "Select Mandate Id"). In the center, there are "SIP Type" (set to "NORMAL SIP"), "DP Transaction Mode" (set to "CDSL"), "Scheme Option" (set to "Select Scheme Option"), and "Folio Number" (set to "Please Enter/Select Folio No."). On the right, there is a "Client Code/Name" field with a search prompt, "AMC" (set to "Select AMC"), "Settlement Type" (set to "Select Settlement Category"), "Scheme Name" (set to "Select Scheme Name"), "ISIN", "Frequency Type" (set to "Select Frequency Type"), and a "Generate First Order Today" checkbox which is unchecked.

Member needs to enter all the required details and save the data entered.

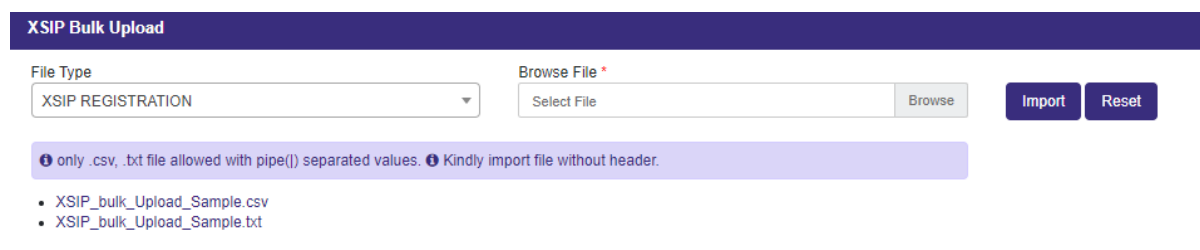
Additionally, Member can upload the bulk file in the below path to register XSIPs.

Menu: XSIP > XSIP Bulk Upload

File Type: XSIP Registration

Member can register XSIP orders in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

Path: XSIP>>Bulk Upload – XSIP Registration

The image shows a web form titled "XSIP Bulk Upload". It has a "File Type" dropdown menu set to "XSIP REGISTRATION". To the right is a "Browse File" section with a "Select File" input, a "Browse" button, and "Import" and "Reset" buttons. Below this is a purple informational banner that reads: "i only .csv, .txt file allowed with pipe(|) separated values. i Kindly import file without header." At the bottom, there are two bullet points: "• XSIP_bulk_Upload_Sample.csv" and "• XSIP_bulk_Upload_Sample.txt".

After registering the XSIP in UI or by uploading XSIP order file successfully investor will receive email communication for authorisation of placed XSIP order.

If investor fails to authorise using link from email communication, the link will expire after 48 hours, and the XSIP registration will be cancelled.

Here investor must authorise XSIP registration by clicking on **View & Authorise link** present in the mail communication.

Authorization Request for XSIP Registration



noreply@nmf2.nse.co.in
To .

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If there are problems with how this message is displayed, click here to view it in a web browser.
Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

Mr./Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Thank you for Investing through .

We are happy to inform you that . has submitted XSIP Registration request on your behalf, the details of which are provided below:

XSIP Registration Details	
XSIP Reg No.	202501101000003
Transaction Type	Fresh Purchase
Scheme Name	ADITYA BIRLA SUN LIFE LIQUID FUND - DIRECT PLAN
Amount	500.00
XSIP From Date	14-Feb-2025
XSIP To Date	13-Jan-2026
ACH From Date	23-Dec-2024
ACH To Date	31-Dec-2060
Debit Amount Type	Fixed Amount
Total Installment	12
Frequency	MONTHLY

Please click the link below to approve or reject the XSIP registration.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser

<https://nseinvestuat.nseindia.com/mf/a?tddbb620>

After clicking on link, client will be redirected to page where he can authorise or reject XSIP Order. The authorization link goes to all the holders in the UCC. The XSIP transaction goes for further processing post authentication.

XSIP Registration Authorization for NON DEMAT1 (NDI1)					
Distributor Name	Distributor Contact No.	Distributor Email			
XSIP Registration Confirmation for NON DEMAT1 (NDI1)					
XSIP Reg No. 202501101000003	Transaction Type Fresh Purchase	Scheme Name ADITYA BIRLA SUN LIFE LIQUID FUND - DIRECT PLAN	Amount 500	XSIP From Date 14-Feb-2025	XSIP To Date 13-Jan-2026
ACH From Date 23-Dec-2024	ACH To Date 31-Dec-2060	Debit Amount Type Fixed Amount	Total Installments 12	Frequency MONTHLY	
1st Holder 2FA Status SUCCESS					
Thank you for completing authorization. Transaction will be processed as per above mention.					

Post successful authentication, investor (First holder in UCC) gets mail to make the payment for the XSIP Order.

Non-Confidential

Transaction Submission Notification

Dear NON DEMAT1,

Greetings from NSE Invest.

We are glad to inform you that your distributor/advisor has electronically submitted purchase application through NSE Invest on your behalf for the transactions.

Kindly click the below link to review the above transaction/s and complete the transaction processing. In case of any discrepancies, please contact your distributor/advisor.
[View & Make Payment](#)

If you are not able to open the above link, please copy and paste the below text your browser
<https://nseinvestuat.nseindia.com/mf/a?249b321a>

Kindly note that the transaction is valid till 14-Jan-2025 23:59:59 subject to realization of two- factor authentication if applicable and payment processing. NAV applicability will be subject to transfer of funds to respective AMC account. Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor 99943 - SARITA or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,
National Stock Exchange of India Limited.

Post successful payment, a confirmation mail is sent by Exchange to the investor.

Systematic Transaction Authorization Confirmation

 noreply@nmf2.nse.co.in
To
Non-Confidential
 Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

 Reply  Reply All  Forward  

Fri 10-01-2025 11:4

Systemetic Transaction Authorization Confirmation

Mr./Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Thank you for investing through .

Systematic Reg no 202501101000003 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Disclaimer:NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.

Thanks and Regards,
National Stock Exchange of India Limited.

The registered SIPs can be viewed by the member in the Registration report available.

How to Cancel XSIP transaction

Menu: XSIP > XSIP Bulk Upload File

Type: XSIP Cancellation

Member can upload file to cancel XSIP registration in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

XSIP Bulk Upload

File Type

XSIP CANCELLATION

Browse File *

Select File

Browse

Import

Reset

 only .csv, .txt file allowed with pipe(|) separated values.  Kindly import file without header.

- XSIP_bulk_Cancellation_Sample.csv
- XSIP_bulk_Cancellation_Sample.txt

XSIP Bulk Upload

Records Processed Successfully.

File Type

XSIP REGISTRATION

Browse File *

Select File

Browse

Import

Reset

only .csv, .txt file allowed with pipe() separated values. Kindly import file without header.

XSIP_bulk_Upload_Sample.csv

XSIP_bulk_Upload_Sample.txt

XSIP Bulk Cancellation Summary

Number of records in File	Number of record processed successfully	Number of record failed
1	1	0

After Successfully uploading file for XSIP cancellation, investor will receive email, where investor must authorise that cancellation request which is initiated by investor by clicking on link given in mail communication.

Transaction Cancellation Notification

Mr. /Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Kindly click the below link to authorize the cancelled transaction/s. In case of any discrepancies, please contact your distributor/advisor.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser
<https://nseinvestuat.nseindia.com/mf/a?ae7f9997>

Kindly note that the transaction is valid till 22-Jan-2025 subject to realization of the cancellation.

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor ~r you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,
National Stock Exchange of India Limited.

Investor to click on the link and select the XSIP Registration which he wants to cancel and then select the mode of authorization and generate the OTP.

Pending to Authorize with OTP

XSIP Exchange Order No
202501211000004

Transaction Type
Fresh Purchase

Registered Date
21-Jan-2025

Symbol/Series
BSL15GA-LQ

Amount
500

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

☒ I Agree Terms & Condition

Authorization Mode *

Email

x

Email S*****@N*****.IN

You can resend OTP after 106 seconds.

Authorize

Reset

XSIP Cancellation Authorization for NON DEMAT1 (NDI2)				
 Distributor Name		 Distributor Contact No.		 Distributor Email
XSIP Cancellation Confirmation for NON DEMAT1 (NDI2)				
XSIP Exchange Order No 202501211000004	Transaction Type Fresh Purchase	Registered Date 21-JAN-2025	Symbol/Series BSL15GA.LQ	Amount 500
Thank you for completing authorization. Transaction will be processed as per above mention.				

Post successful authentication, the investor gets mail and SMS with the Systematic Transaction Cancellation Confirmation.

Systematic Transaction Cancellation Confirmation
Dear NON DEMAT1, Greetings from NSE Invest. Cancellation request for Systematic Reg no 202501211000004 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing. Thank you very much for choosing to transact on NSE Invest. NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer. In case you need any clarification, please contact your Distributor or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query. We look forward to your continued support and assuring you of our best services always. Thanks and Regards, National Stock Exchange of India Limited.

Various XSIP Reports

Menu: XSIP >>

- **XSIP Reg. & Can. Report (Registration & Cancellation)** = This report gives data of XSIP registered till date and XSIP Cancelled till date. Member can also Export this report in Excel and TXT format for their reference.
- **XSIP Instalment Due Report** = This report fetches the data of XSIP instalment due for future dates based on From Date and To Date
- **XSIP Matured Report** = This report fetches the data of all those XSIP which are matured as on today only. Future date is not allowed here.
- **XSIP Missed Instalment Report** = This report fetches data of all those XSIP and whose instalment are not triggered/missed due to some business change. This report is fetched as on today only. Future date is not allowed here.

Order Cancellation

Menu: Utilities > Bulk Upload

File Type: Order Cancellation

Member can cancel orders in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

Note: Cancellation of Orders cannot be done if the payment/authorisation of the same is initiated.

Bulk Upload

File Type *

ORDER CANCELLATION

Browse File *

Select File...

Browse

Upload

Reset

only .csv, .txt and incremental(.001,.002,.003..) file allowed with pipe(|) separated values. Kindly import file without header.

Order_Cancellation.csv

Post successful upload of the Order cancellation file, investor will receive Email communication on registered mail id notifying the cancellation of Order.

Transaction Cancellation Notification

N

noreply@nmf2.nse.co.in

To: Tejas Mahajan

Non-Confidential

If there are problems with how this message is displayed, click here to view it in a web browser.
Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

Reply

Reply All

Forward

Mon 06-05-2024 16:32

Transaction Cancellation Notification

Mr. /Ms./ M/s. TEJAS IDFC AC TEST TEST,

Greetings from NSE Invest.

Kindly note that the below transaction/s entered on your behalf by your distributor/advisor 12346 - TEST NJ MEMBER has been cancelled. In case of any discrepancies, please contact your distributor/advisor.

Transaction Details	
Exchange Order No.	441270000309
Fund	ADITYA BIRLA SUNLIFE MUTUAL FUND
Transaction Type	Purchase
Folio	9966223312359840
Scheme Name	ADITYA BIRLA SUN LIFE TAX RELIEF '96 FUND- (ELSS U/S 80C OF IT ACT) - IDCW-REGULAR PLAN
Divident Option	GROWTH
Trxns Amount	55000
Status	CANCELED

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor 12346 - TEST NJ MEMBER or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query.

How to register SWP

Member can register SWP from the below path.

Path: Menu> SWP> SWP Registration

Member needs to enter all the details required in the UI to register SWP.

SWP Registration

SWP Registration Date
07-02-2025

Client Code/Name *

Please enter value for search.

AMC *

Select AMC Name

Scheme Type

Select Scheme Type

Scheme Option

Select Scheme Option

Scheme Name *

Select Scheme Name

ISIN

RTA Scheme Code

Folio Number

Please Enter/Select Folio No.

Frequency Type *

Select Frequency Type

Generate First Order Today

Save

Reset

Additionally, the member can upload the bulk file in the below path to register the SWP.

Menu: SWP > SWP Bulk Upload

File Type: SWP Registration

Member can place SWP orders in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

SWP Bulk Upload

File Type *

SWP REGISTRATION

Browse File *

Select File

Browse

Import

Reset

📘 only .csv, .txt file allowed with pipe(|) separated values. 📘 Kindly import file without header.

• swp_registration.csv

• swp_registration.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

SWP Bulk Upload

Records Processed Successfully.

File Type *

SWP REGISTRATION

Browse File *

Select File

Browse

Import

Reset

📘 only .csv, .txt file allowed with pipe(|) separated values. 📘 Kindly import file without header.

• swp_registration.csv

• swp_registration.txt

SWP Bulk Upload Summary

Number of records in File	New Registration Imported	Records are not processed
1	1	0

After registering the SWP from UI or by uploading SWP order file successfully, investor (first holder in UCC) will receive an Email on his registered mail ID and SMS on his registered mobile no for authorization.

SWP Registration Details

Reg No.	202501211000006
Reg Date	21-Jan-2025
Scheme Name	MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH
Installment Amount	5000.00
Redemption Qty	0
Frequency	MONTHLY
Start Date	21-Feb-2025
End Date	20-Jan-2030
Total Installments	60
Trans Mode	NON DEMAT
Depository	-
Folio No	654321

Please click the link below to approve or reject the SWP registration.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser

<https://nseinvestuat.nseindia.com/nrfia?c1aag0a5>

We request you to take note of above SWP details and it will be construed as an approval / confirmation for SWP registration. Link is Valid till 22-JAN-2025 23:59:59

Investor needs to click on the “View & Authorize link” and then select the orders he wants to authenticate. He then will have to select the mode of authorization.

SWP Registration Authorization for NON DEMAT1 (NDI2)

Distributor Name

Distributor Contact No.

Distributor Email

Pending to Authorize with OTP

SWP Registration Date

21/01/2025

Transaction Mode

Non-Demat

SWP Registration No.

202501211000006

Folio No.

654321

Amount

5000

Quantity

0

Start Date

21/02/2025

Frequency

MONTHLY

Installments

60

First Order Today

Y

Active Till

22/01/2025 23:59:59

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

I Agree Terms & Condition

Authorization Mode *

Select Authorization Mode

Both

Email

Mobile

Investor needs to select the authorization mode and generate the OTP for authorization. He can resend the OTP if he didn't receive the same earlier.

SWP Registration Confirmation for NON DEMAT1 (NDI2)

SWP Registration Date 21/01/2025	SWP Registration No. 202501211000007	Scheme Name MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH	Amount 5000	Quantity 0	Start Date 21/02/2025	Frequency MONTHLY	Installments 60	First Order Today Y
SWP Registration Date 21/01/2025	SWP Registration No. 202501211000006	Scheme Name MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH	Amount 5000	Quantity 0	Start Date 21/02/2025	Frequency MONTHLY	Installments 60	First Order Today Y

Thank you for completing authorization. Transaction will be processed as per above mention.

Systemetic Transaction Authorization Confirmation

Mr. /Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Thank you for Investing through

Systematic Reg no 202501211000006 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Disclaimer:NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.

Thanks and Regards,
National Stock Exchange of India Limited.

How to Cancel SWP transaction

Menu: SWP > SWP Bulk Upload

File Type: SWP Cancellation

Member can cancel SWP orders in the system. Only .txt file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample file provided on screen for their reference.

SWP Bulk Upload

File Type *

SWP CANCELLATION

Browse File *

Select File

Browse

Import

Reset

only .txt file allowed with pipe(|) separated values. Kindly import file without header.

SWP_bulk_Cancel_Sample.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

Non-Confidential

SWP Bulk Upload

File Type *

SWP REGISTRATION

SWP REGISTRATION

Browse File *

Select File

Browse

Import

Reset

only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.

swp_registration.csv

swp_registration.txt

SWP Bulk Cancellation Summary

Number of records in File	Number of record processed successfully	Number of records failed
1	1	0

Post successful upload of the cancellation file, investor gets an authorization mail for the same.

Authorization Request for SWP Cancellation

N

noreply@nmf2.nse.co.in

To

Non-Confidential

Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

Reply

Reply All

Forward

Tue 21-01-2025 16:4

Transaction Cancellation Notification

Mr./Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Kindly click the below link to authorize the cancelled transaction/s. In case of any discrepancies, please contact your distributor/advisor.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser
<https://nseinvestuat.nseindia.com/mf/a?04f9d71e>

Kindly note that the transaction is valid till 22-Jan-2025 subject to realization of the cancellation.

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,
National Stock Exchange of India Limited.

Investor will have to click on View and authorize to authorize the cancellation of the SWP Order.

SWP Cancellation Authorization for NON DEMAT1 (ND02)

Distributor Name

Distributor Contact No.

Distributor Email

Pending to Authorize with OTP

Both

Email

Mobile

Start

Cancellation Request Date	21/01/2025 16:39:54	SWP Registration Date	21/01/2025	SWP Registration No.	202501211000006	Quantity	0	Scheme Name	MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH	Start Date	21/02/2025	Frequency	MONTHLY	Installments	60	Active To	22/01/2025 23:59:59
Transaction Mode	Non-Demat	Folio No.	654321	Amount	2000												

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

Agree Terms & Condition

Investor will select the authentication mode and generate the OTP.

Investor gets the confirmation once the authentication is completed successfully.

SWP Cancellation Authorization for NON DEMAT1 (NDH4)					
Distributor Name		Distributor Contact No.		Distributor Email	
SWP Cancellation Confirmation for NON DEMAT1 (NDH4)					
Cancellation Date 24/01/2025	SWP Registration Date 24/01/2025 Transaction Mode Non-Demat	SWP Registration No. 202501241000005 Folio No. 654321	Scheme Name MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH Amount 5000 Quantity @	Start Date 28/02/2025 Frequency MONTHLY	Installments 60
Thank you for completing authorization. Transaction will be processed as per above mention.					

Investor gets the confirmation mail and SMS too once the authentication is completed successfully.

Systematic Transaction Cancellation Confirmation
Dear NON DEMAT1, Greetings from NSE Invest. Cancellation request for Systematic Reg no 202501241000004 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing. Thank you very much for choosing to transact on NSE Invest. NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer. In case you need any clarification, please contact your Distributor: or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query. We look forward to your continued support and assuring you of our best services always. Thanks and Regards, National Stock Exchange of India Limited.

SWP Reports

Menu: SWP >>

- **SWP Reg. & Can. Report** = This report gives data of SWP registered till date and SWP Cancelled till date. Member can also Export this report in Excel and TXT format for their reference.
- **SWP Instalment Due Report** = This report fetches the data of SWP instalment due for future dates based on From Date and To Date
- **SWP Matured Report** = This report fetches the data of all those SIP which are matured as on today only. Future date is not allowed here.

How to enter STP transaction

Member can register STP from the below path.

Path: Menu> STP> STP Registration

Member needs to enter all the details required in the UI to register STP.

STP Registration			
Client Code/Name * Please enter value for search.		Client Tax Status	
Transaction Type ADDITIONAL	AMC Name * Select AMC Name		
From Scheme Type Select From Scheme Type	From Scheme Option Select From Scheme Option	From Scheme Name * Select From Scheme Name	
To Scheme Type Select To Scheme Type	To Scheme Option Select To Scheme Option	To Scheme Name * Select To Scheme Name	
Frequency Type * Select Frequency Type	Generate First Order Today ☐		
Submit Reset			

Additionally, member can upload the bulk file to register STPs.

Menu: STP > STP Bulk Upload

File Type: STP Registration

Member can place STP orders in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

STP Bulk Upload

File Type *

STP REGISTRATION

Browse File *

Select File

Browse

Import

Reset

only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.

STP_bulk_Upload_Sample.csv

STP_bulk_Upload_Sample.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

STP Bulk Upload

File Type *

STP REGISTRATION

Browse File *

Select File

Browse

Import

Reset

only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.

STP_bulk_Upload_Sample.csv

STP_bulk_Upload_Sample.txt

STP Bulk Upload Summary

Number of records in File	New Registration Imported	Records are not processed
1	1	0

After registering the STP through UI or by uploading the STP file successfully, Investor needs to click on the “View & Authorize link” and then select the orders he wants to authenticate. He then will have to select the mode of authorization.

STP Registration Details

STP Reg No.	202501221000002
STP Reg Date	22-Jan-2025
From Scheme Name	MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH
To Scheme Name	MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH
Amount	500.00
Qty	0
Frequency	MONTHLY
Start Date	28-Feb-2025
End Date	27-Apr-2026
Total Installments	15
Trans Mode	NON DEMAT
Depository	-
Folio No	654321

Please click the link below to approve or reject the STP registration.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser

<https://nseinvestuat.nseindia.com/vmf/a71c5eb7fd>

We request you to take note of above STP details and it will be construed as an approval / confirmation for STP registration. Link is Valid till 23-JAN-2025 23:59:59

Pending to Authorize with OTP

STP Trn No.
202501221000003
Folio No.
654321
First Order Today
Y

Registration Date
22/01/2025
Transaction Mode
Non-Demat
Active Till
23/01/2025 22:59:59

Scheme Name(SO)
MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH
Start Date
28/02/2025
Frequency
MONTHLY

Scheme Name(SI)
MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH
Total Installments
15
Amount(SO) | Qty(SO)
5000 | 0

STP Trn No.
202501221000002
Folio No.
654321
First Order Today
Y

Registration Date
22/01/2025
Transaction Mode
Non-Demat
Active Till
23/01/2025 22:59:59

Scheme Name(SO)
MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH
Start Date
28/02/2025
Frequency
MONTHLY

Scheme Name(SI)
MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH
Total Installments
15
Amount(SO) | Qty(SO)
500 | 0

STP Trn No.
202501211000005
Folio No.
654321
First Order Today
Y

Registration Date
21/01/2025
Transaction Mode
Non-Demat
Active Till
23/01/2025 22:59:59

Scheme Name(SO)
MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH
Start Date
21/02/2025
Frequency
MONTHLY

Scheme Name(SI)
MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH
Total Installments
15
Amount(SO) | Qty(SO)
500 | 0

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be in my best interest.

☒ I Agree Terms & Condition

Authorization Mode *
Select Authorization Mode

Reset

Investor generates the OTP for authorization. He can resend the OTP if he didn't receive the same earlier.

STP Registration Confirmation for NON DEMAT1 (NDI2)							
STP Trn No. 202501221000003 Folio No. 654321	Registration Date 22/01/2025 Transaction Mode Non-Demat	Scheme Name(SO) MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH Start Date 28/02/2025 Frequency MONTHLY Total Installments 15	Scheme Name(SI) MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH Amount(SO) Qty(SO) 5000 0 First Order Today Y				
STP Trn No. 202501221000002 Folio No. 654321	Registration Date 22/01/2025 Transaction Mode Non-Demat	Scheme Name(SO) MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH Start Date 28/02/2025 Frequency MONTHLY Total Installments 15	Scheme Name(SI) MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH Amount(SO) Qty(SO) 500 0 First Order Today Y				
STP Trn No. 202501211000005 Folio No. 654321	Registration Date 21/01/2025 Transaction Mode Non-Demat	Scheme Name(SO) MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH Start Date 21/02/2025 Frequency MONTHLY Total Installments 15	Scheme Name(SI) MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH Amount(SO) Qty(SO) 500 0 First Order Today Y				

Thank you for completing authorization. Transaction will be processed as per above mention.

Post successful authorization, the investor gets a confirmation mail and SMS for Systematic Transaction Authorization Confirmation

Systemetic Transaction Authorization Confirmation

Mr. /Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Thank you for Investing through

Systematic Reg no 202501221000003 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Disclaimer:NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. NSE / AMC shall not be responsible for any loss of return suffered by the concerned NRI customer.

Thanks and Regards,
National Stock Exchange of India Limited.

How to Cancel STP transaction

Menu: STP > STP Bulk Upload

File Type: STP Cancellation

Member can cancel STP orders in the system. Only .txt file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample file provided on screen for their reference.

STP Bulk Upload

File Type *

STP CANCELLATION

Browse File *

Select File

Browse

Import

Reset

only .txt file allowed with pipe(|) separated values. Kindly import file without header.

STP_bulk_Cancel_Sample.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

STP Bulk Upload

File Type *

STP CANCELLATION

Browse File *

Select File

Browse

Import

Reset

only .txt file allowed with pipe(|) separated values. Kindly import file without header.

STP_bulk_Cancel_Sample.txt

STP Cancellation Summary

Number of records in File	Number of record processed successfully	Number of record failed
1	1	0

Post successful upload of the cancellation file, investor gets an authorization mail for the same.

Transaction Cancellation Notification

Mr. /Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Kindly click the below link to authorize the cancelled transaction/s. In case of any discrepancies, please contact your distributor/advisor.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser
<https://nseinvestuat.nseindia.com/mf/a?n23b0545>

Kindly note that the transaction is valid till 23-Jan-2025 subject to realization of the cancellation.

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,

Investor will have to click on View and authorize to authorize the cancellation of the SWP Order. The Authentication link will be going only to the 1st holder in the UCC.

Pending to Authorize with OTP

Cancellation Request Date

23/01/2025

STP Tran No.

20250121000002

Folio No.

654321

Registration Date

22/01/2025

Scheme Name(SI)

MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH

Scheme Name(SI)

MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH

Transaction Mode

Non-Demat

Start Date

28/02/2025

Frequency

MONTHLY

Total installments

15

Amount(SI) (Qty(SI))

500 | 0

Active Till

23/01/2025 23:59:59

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

I Agree Terms & Condition

Authorization Mode *

Select Authorization Mode

Both

Email

Mobile

Non-Confidential

Investor needs to select the authorization mode and generate the OTP for authorization. He can resend the OTP if he didn't receive the same earlier.

NSE Mutual Fund Platform **Client Desk**

STP Cancellation Authorization for NON DEMAT1 (NDM4)

Distributor Name	Distributor Contact No.	Distributor Email SARITAN@NSE.CO.IN
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STP Cancellation Confirmation for NON DEMAT1 (NDM4)

Cancellation Date 24/01/2025	STP Tran No. 202501241000004 Folio No. 6643521	Registration Date 24/01/2025 Transaction Mode Non-Demat	Scheme Name(SI) MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH Start Date 14/03/2025 Frequency MONTHLY Total installments 20	Scheme Name(SI) MOTILAL OSWAL GOLD AND SILVER ETF'S FUND OF FUNDS REGULAR GROWTH Amount(SI) Qty(SI) 5000 0
---------------------------------	---	--	--	---

Thank you for completing authorization. Transaction will be processed as per above mention.

On successful authentication, investor gets mail on confirmation of cancellation of the transaction.

Systematic Transaction Cancellation Confirmation

Dear NON DEMAT1,

Greetings from NSE Invest.

Cancellation request for Systematic Reg no 202501241000004 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor or you can also email to support@nseinvest.com, quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,
National Stock Exchange of India Limited.

STP Reports

Menu: STP >>

- **STP Reg. & Can. Report** = This report gives data of STP registered till date and SWP Cancelled till date. Member can also Export this report in Excel and TXT format for their reference.
- **STP Instalment Due Report** = This report fetches the data of STP instalment due for future dates based on From Date and To Date
- **STP Matured Report** = This report fetches the data of all those STP which are matured as on today only. Future date is not allowed here.

Reports

- **Provisional Order Report – (Member Desk>Menu>Reports>Provisional Order Report)**

Report of any transactions placed by the member will be initially visible in this report. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference.

Provisional Order Report

Order No.

Order Request * ☒ ONLINE ☐ OFFLINE

From Date * To Date *

OR

Order Status * Trans Type *

Order Type

Sub Order Type

Settlement Type

AMC

Scheme Name

Client Code/Name

Note: Online order filtration work on transaction order date and Offline order filtration work on transaction request date.

Online Provisional Order Report : 10-01-2025 To 10-01-2025

50 entries per page

Sr No	Member Code	Date	Time	Order No.	Self No.	Client Code	Client Name	Scheme Code	Scheme Name	ISIN	Buy/Sell	Amount	Units	CP	Trade	DPFolio No.	Folio No.	Entry By	Order Status	Order Remark	Internal Ref No.	Self Type	Order Type	SP Regn No.	SP Regn Date	Subtr Code	ELM Dec	ALL	Units	SPC	CR
1	99943	10/01/2025	11:05:09	450100000059	2025008	ND17	NON DEMAT1	HDFC DP L1	HDFC BALANCED ADVANTAGE FUND - DIRECT PLAN - IDCW PAYOUT	INF179K21VY8	P	200000	-	PHYSICAL	-	-	admin	VALID	PROVISIONAL ORDER	-	L1	SP	20250101000002	10/01/2025	-	-	N	N	Y	NR	
2	99943	10/01/2025	11:07:56	450100000060	2025009	ND17	NON DEMAT1	BIL 150A LQ	ADITYA BILLA SUN LIFE LIQUID FUND - DIRECT PLAN	INF209K01RUA	P	500	-	PHYSICAL	-	-	admin	VALID	PROVISIONAL ORDER	-	LQ	NSP	20250101000003	10/01/2025	-	E123456	Y	N	Y	NR	
Current Page Total												200000.00	0.000																		
Total Records		2	Report Total Amount		200,000	Total Units		0																							

Showing 1 to 2 of 2 entries

➤ Order Status report- (Member Desk>Menu>Reports>Order Status Report)

Member can view the Status of Orders placed. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference. Member can view the status of the orders if they are approved or rejected by the AMC.

Order Status Report

Order No.

From Date * To Date *

OR

Order Status * Trans Type *

Order Type

Sub Order Type

Settlement Type

AMC

Scheme Name

Client Code/Name

Order Status Report : 10-01-2025 To 10-01-2025

50 entries per page

Sr No	Member Code	Order Code	Order Type	Order No.	Self No.	Client Code	Client Name	Scheme Code	Scheme Name	ISIN	Buy/Sell	Amount	Units	CP	Trade	DPFolio No.	Folio No.	Entry By	Order Status	Order Remark	Internal Ref No.	Self Type	Order Type	SP Regn No.	SP Regn Date	Subtr Code	ELM Dec	ALL	Units	SPC	CR	First Order	Purchase/Redem	Freeze/Unfreeze
1	99943	10/01/2025	NSP	450000000120	2025008	ND127	NON DEMAT27	HDFC DP	HDFC LIQUID FUND - REGULAR PLAN - GROWTH	INF179K21VY8	P	100000	0.000	PHYSICAL	-	-	admin	INVALID	ORDER EXPIRED	-	-	NSP	-	-	-	-	-	-	-	-	-	-	-	-
Current Page Total												100000.00	0.000																					
Total Records		1	Report Total Amount		10,000	Total Units		0																										

➤ Allotment Statement report- (Member Desk>Menu>Reports> Allotment Statement report)

Using this Allotment Statement Report Member can check the unit allotment of the transactions. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference.

Allotment Statement Report

Order Ids

Member Code

From Date * To Date *

OR

Order Type Sub Order Type

➤ Redemption Statement report- (Member Desk>Menu>Reports> Redemption Statement report)

Member can check status of redemption orders after the same is processed by the RTAs. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference.

➤ **Redemption Payout date report- (Member Desk>Menu>Reports> Redemption Payout date report)**

Member can check the redemption payout status of redemption orders. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference.

➤ **Security Delivery and Shortage report- (Member Desk>Menu>Reports> Security Delivery and Shortage report)**

Member can check Transaction Orders for whom units are allotted and yet to be allotted and Shortage in units for specific Order. Member can also export this report in Excel and TXT format for their reference.

➤ **Refund report- (Member Desk>Menu>Reports>Refund Report)**

Member can check the refund status of invalid purchase orders. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference.

➤ **Member Fund Allocation Order Wise Report Other Than PA (Member Desk>Menu>Reports> Member Fund Allocation Order Wise Report Other Than PA)**

This report displays the allocation of funds order wise received through

Member Fund Allocation Order wise Report Other than PA

Report Type

Member Fund Allocation Orderwise Report

From Date *

10-01-2025

To Date *

10-01-2025

Member Code

99943 -SARITA

Client Code

PG Bank Ref No

View

Export To Text

Export To Excel

Reset

➤ **2FA Report (Member Desk>> Reports>>2FA Report)**

Member can view the authorization details of the order like authorisation date, time and email id and mobile of all the holder who have authenticated the order for all types of transactions. Member can also export this report in Excel and TXT format for their reference.

2FA Report

Product

NONE

OR

From Date *

01-02-2025

To Date *

06-02-2025

Client Name/ UCC

Please enter value for search.

Order Type

NONE

View

Export To Text

Export To Excel

Reset

➤ **Order Lifecycle Report (Member Desk>>Reports>>Order Lifecycle Report)**

Member can view the complete lifecycle of the order including order authentication status by respective holders, payment mode, payment status, reconciliation status, settlement details, refund details if applicable for all orders placed on NSE MF Invest Platform. Member can also export this report in Excel and TXT format for their reference.

Order Lifecycle Report

Product

NONE

OR

From Date *

06-02-2025

To Date *

06-02-2025

Client Name/ UCC

Please enter value for search.

Order Type

NONE

View

Export To Text

Export To Excel

Reset

➤ **Order – Bank Verification Report (Member Desk>Menu>Reports> Order – Bank Verification Report)**

Member can view this order Report where purchase orders are received for UCCs having one or more un-verified bank account. As stated earlier, such orders will not be settled until the bank verification is completed and accordingly member can share the bank proof using the Client Cancel Cheque upload option to get the order processed. Member can also export this report in Excel and TXT format for their reference.

Order - Bank Verification Report

Order No.

OR

From Date *

To Date *

Order Type

Client Code/Name

View

Export To Excel

Reset